

Part 119 / 135 Compliance Matrix – Aeroplanes VFR – General Aviation Air Operator Certificate

The Rule references in this compliance matrix have been extracted from the Civil Aviation Rules system as the minimum compliance requirements for an applicant for the issue or renewal of a Part 135 General Aviation Air Operator Certificate.

This matrix is part of a series of matrices applicable to Part 135 General Aviation Air Operator requirements. It contains operating and certification rule parts for aeroplane VFR operations only. IFR operations, maintenance requirements, dangerous goods and training are to be found in the other matrices and will need to be selected to suit the particular type of operation.

A completed set of compliance matrices must be submitted by the applicant both for initial certification and for renewal. Additionally, the certificate holder should maintain an up-to-date compliance matrix to assist with on-going compliance and to support certificate amendment requests.

The purpose of the matrix is to speed up the certification process, ensure every applicable rule requirement has been addressed in the exposition, and reduce the cost of certification by allowing the quick location of required policies or procedures in the applicant's exposition manual suite.

All Civil Aviation rules have to be complied with, but not every rule has to be addressed in the exposition. At least the following rules must be included unless they are not applicable to the operation, in which case they should be annotated N/A. The intention of this matrix is to assist rather than instruct the applicant in an initial application or request for renewal. If, for your operation, compliance is required with a rule not listed in the matrix, please add it to the list and identify the exposition reference.

This matrix must be completed by every applicant for a Part 135 General Aviation Air Operator Certificate and show the exposition pages and paragraph numbers that satisfy the rules in the *Manual References / Applicant's Comments* column. Where the applicant does not meet the rule requirement or deems it not applicable, an explanation should be given in this column. **Please note ticks (ü) are not acceptable.**

The completed matrix should accompany the exposition documents and preferably be included as a component of the exposition. The applicant may submit a completed matrix in a different format as long as it includes all the rule references identified below; however, there may be additional processing time required by the CAA in cross-referencing requirements.

Transition Provisions

Some of the rule references shown are affected by the Transitional Provisions shown in Part 119.207. These are annotated with the letters '**TP**'. Reference should be made to the rule part to determine if these are to be included.

General Manual Layout and Distribution

Electronic exposition: Is the matrix included as part of the file(s)/disc? If so, is it up to date? Have you considered the methods for distributing to the CAA and how you will manage amendments?

Manual binders: Can the manual be amended easily? (Three- or four-ring binders are preferred: two-ring binders are not recommended as the pages are too easily torn). If permanently bound, do you intend to re-issue at every amendment? This may inhibit frequency of needed amendments.

Applicant:

Participant ID:

Manuals Submitted:

Rev.:

Dated:

	Applicant's Comments	CAA Comments (for CAA use only)
Rule Compliance Matrix		
Company Statement page, signed by the Chief Executive		
List of Effective Pages		
Record of Amendments		
Distribution List & copies to be numbered		
Contents Page		
Definitions & Abbreviations (not mandatory)		
On every page, headers and/or footers to include: (a) Company name (b) Name of the manual (c) Effective revision and date of the page (d) Page number		
Index (not mandatory but desirable)		

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
Part 19 Transition Rules		
19.7 Intoxicating Liquor and Drugs		
19.7		
Part 61 Pilot Licences and Ratings		
61.27		
61.39(c)		
Part 91 General Operating and Flight Rules		
Operating Rules		
91.109		
91.111		
91.125		
General Flight Rules		
91.207		
91.211		
91.213		
91.215		
91.217		
91.219		
91.221		
91.223		
91.241		
Visual Flight Rules		
91.307		
91.309		
91.311(a)		

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
91.311(b)		
91.311(d)		
91.315		
Instrument and Equipment Requirements		
91.505		
91.525		
91.527		
Part 92 Carriage of Dangerous Goods		
92.1 Option 1		
92.11 Option 2		
Part 119 Air Operator - Certification		
119.101(a)(1)(i)		
119.101(a)(1)(ii)		
119.101(b)(1)(i)		
119.101(b)(1)(ii)		
119.101(b)(1)(iii)		
119.101(b)(1)(iv) <i>TP</i>		
119.101(b)(1)(v)		
119.101(b)(2)		
119.103		
119.105		
119.113		
119.115		
119.117		
119.119		
119.121		

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
119.123		
119.124 TP		
119.125(a)(1)(i)		
119.125(a)(1)(ii)		
119.125(a)(1A)(i) TP [100.3 (b)]		
119.125(a)(2)		
119.125(a)(3)(i)		
119.125(a)(3)(ii) TP		
119.125(a)(4)		
119.125(a)(4A) TP		
119.125(a)(5)		
119.125(a)(6)		
119.125(a)(8)		
119.125(a)(9)		
119.125(a)(10)		
119.125(b)		
119.155		
119.157(a)		
119.157(b)		
119.157(c)		
119.157(d)		
119.159		
119.161		
119.165		
119.209(a) TP		

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
119.209(b) <i>TP</i>		
119.209(c)(1) <i>TP</i>		
119.209(c)(2) <i>TP</i>		
119.209(c)(3)(i) <i>TP</i>		
119.209(c)(3)(ii) <i>TP</i>		
119.209(4) <i>TP</i>		
119.209(5)(i) <i>TP</i>		
119.209(5)(ii) <i>TP</i>		
119.209(d) <i>TP</i>		
Part 135 Air Operations – Helicopters and Small Aeroplanes		
General		
135.13		
Flight Operations		
135.57		
135.59		
135.61		
135.63		
135.65		
135.69		
135.73		
135.77		
135.83		
135.85		
135.87(a)		
135.87(c)		
135.87(d)		

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
135.91		
Operating Limitations and Weather Requirements		
135.153(a)		
135.155		
Performance		
135.207		
135.209		
135.211		
135.215		
135.217		
135.219		
135.221(i)		
135.223		
135.225		
135.227(i)		
135.228		
135.229		
135.231		
135.233		
135.235		
Weight and Balance		
135.303		
135.305		
Instruments and Equipment		
135.353		
135.355		

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
135.357		
135.359		
135.363		
Crew Member Requirements		
135.503		
135.505		
Fatigue of Flight Crew		
135.803		
135.805		
Manuals, Logs and Records		
135.853		
135.855		
135.857		
135.859		
Part 12 Occurrence Reporting		
12.51(b)(1)-(14)		
12.53(a)(1)or(2)		
12.53(b)-(c)		
12.55(a)(1)-(3)		
12.55(a)(4)		
12.55(a)(5)-(7)		
12.55(a)(8)		
12.55(c)		
12.55(d)(1) [App A(a)]		
12.55(d)(2)-(3) [App A(b)]		

Rule Reference	Manual References / Applicant's Comments	CAA Review & Comments (for CAA use only)
12.55(d)(4) [App A(d)]		
12.55(d)(5) [App A(e)]		
12.55(d)(6)-(8) [App A(h)]		
12.55(d)(9) [App A(i)]		
12.57(a)(1)		
12.57(b)(1)-(3)		
12.59(1)		
12.59(2)(i)-(iii)		
12.59(3)		
12.101		
12.103(a)		
12.151(a)		
12.151(b)(1)or(2)		
List any other rules complied with:		

CAA Use

Assessed By:

Work Request:

Date received: DD / MM / YYYY Date accepted: DD / MM / YYYY

This matrix was established using the following Rule Part amendment statuses

12	Accidents, Incidents, and Statistics	Amendment 9	24 September 2015
19	Transition Rules	Amendment 18	24 September 2015
43	General Maintenance Rules	Amendment 11	24 September 2015
61	Pilot Licences and Ratings	Amendment 10	10 November 2011
91	General Operating and Flight Rules	Amendment 25	24 September 2015
92	Carriage of Dangerous Goods	Amendment 2	22 June 2006
100	Safety Management	Amendment 1	1 February 2016
119	Air Operator - Certification	Amendment 15	1 February 2016
135	Air Operations – Helicopters and Small Aeroplanes	Amendment 22	1 February 2016

Other rules or advisory circulars referred to during the assessment by Inspector